



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Jaskiewicz, Thomas *et al.*

Serial No.: 09/960673

Filed: September 21, 2001

For: A SYSTEM AND METHOD FOR THE
ALLOCATION OF NETWORK STORAGE

Attorney Docket No.: SMQ-076

Group Art Unit: 2152

Examiner: Not Yet Assigned

RECEIVED

MAY 21 2004

Technology Center 2100

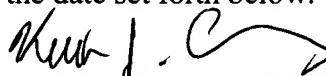
MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Certificate of First Class Mailing (37 CFR §1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on the date set forth below.

5/17/04

By:



Date of Signature and of Mail Deposit

Kevin J. Canning, Esq.
Registration No. 35,470
Attorney for Applicants

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants and their Attorney are aware of the following publications and information cited in an International Search Report mailed March 24, 2004 during the prosecution of PCT/US02/30103, which corresponds to the above-referenced application. Each reference is listed on the attached PTO Form SB-08, and in accordance with 37 CFR §1.97 Applicants hereby submit these publications for the Examiner's consideration. A copy of the Report and each cited publication is enclosed.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover,

Applicants understand that the Examiner will make an independent evaluation of the cited publications.

In accordance with 37 CFR §1.97(e)(1), the undersigned hereby certifies that each item contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application *for the first time* not more than three months prior to the filing of this statement.

In accordance with 37 CFR §1.97(b)(1), no additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 12-0080.

Respectfully submitted,
LAHIVE & COCKFIELD, LLP

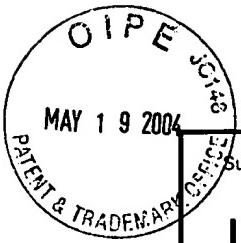


Kevin J. Canning, Esq.
Registration No. 35,470
Attorney for Applicants

28 State Street
Boston, MA 02109
(617) 227-7400

Date: 5/17/04

KJC/JSC/alf
Enclosures



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Substitute for form 1449A/B/PTO				Complete if Known	
				Application Number	09/960673
				Filing Date	September 21, 2001
				First Named Inventor	Jaskiewicz, Thomas
				Art Unit	2152
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	SMQ-076 Technology Center 2100

RECEIVED

MAY 21 2004

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
A1		WO 00/41510 A2	08/20/2000	Storage Technology Corp.		
A2		WO 03/014911 A2	02/20/2003	Sun Microsystems, Inc.		
A3		WO 03/014930 A2	02/20/2003	Sun Microsystems, Inc.		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
A4		Aschermann et al. "A framework for the integration of legacy devices into a Jini management federation." <i>IFIP/IEEE International Workshop on Distributed Systems: Operations and Management</i> , 1999, pages 257-68	
A5		Adams "Introduction to Jiro™ Technology." Javaone Conference, 2000, retrieved from the Internet: http://servlet.java.sun.com/javaone/javaone2000/event.jsp?eventId=1156&trackId=2&eventType=ts&ts=1078498865750	
A6		Carlson "Create automated and distributed management applications with Jiro technology." Javaworld, 2000, pages 1-8, retrieved from the Internet: http://www.javaworld.com/javaworld/jw-02-2000/jw-02-jiro.html	
A7		Carlson "Jiro™ Technology-Based Management Logic Applications" Javaone Conference, 2000, pages 1-49, retrieved from the Internet: http://servlet.java.sun.com/javaone/javaone2000/event.jsp?eventId=1165&trackId=2&eventType=ts&ts=1078498865750	
A8		Guttmann et al. "Automatic discovery of thin servers: SLP, Jini and the SLP-Jini bridge." <i>Industrial Electronics Society, IECON '99 Proceedings. The 25th Annual Conference of the IEEE San Jose, CA</i> . 1999, pages 722-7	
A9		Mahmoud "Using Jini for high-performance network computing" <i>Proceedings International Conference on Parallel Computing in Electrical Engineering</i> . Paralec, 2000, pages 244-7	
Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.